

KELLAHIN AND KELLAHIN

ATTORNEYS AT LAW

EL PATIO BUILDING

117 NORTH GUADALUPE

POST OFFICE BOX 2265

SANTA FE, NEW MEXICO 87504-2265

March 19, 2002

TELEPHONE (505) 982-4285
TELEFAX (505) 982-2047

W. THOMAS KELLAHIN*

*NEW MEXICO BOARD OF LEGAL SPECIALIZATION
RECOGNIZED SPECIALIST IN THE AREA OF
NATURAL RESOURCES-OIL AND GAS LAW

JASON KELLAHIN (RETIRED 1991)

HAND DELIVERED

Mrs. Lori Wortenbery, Director
Oil Conservation Division
1220 South Saint Frances Drive
Santa Fe, New Mexico 87505

Re: MOTION TO STRIKE RESPONSE BY NEARBURG
NMOCD Case 12820
Application of Nearburg Exploration Company L.L.C.
for compulsory pooling, Lea County, New Mexico
W/2 Sec 5, T19S, R33E (Gem North "5" Federal Com Well No. 1)

CEM:19 PM 2:34
OR FOR FORTY-ONE DAY

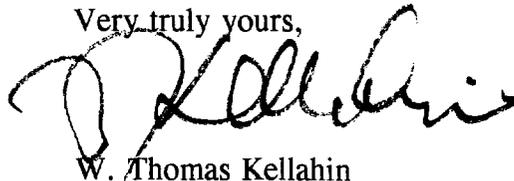
Dear Mrs. Wortenbery:

On March 13, 2002, on behalf of OXY USA WTP LP, an adversely affected interest owner, I filed a motion to dismiss the referenced case filed by Nearburg Exploration Company, L.L.C.. In accordance with Division Rule 1208, on the same day I served a of copy on Mr. William F. Carr, Esq, attorney for Nearburg.

Yesterday, much to my surprise, I received a copy of a response to my motion which was addressed to you from Duke Roush, one of Nearburg's witness in this case. Mr. Roush did not ever send a copy of his letter to Mr. Carr. I prefer not to be the one who tells Mr. Carr that his client is practicing law without him. Nearburg is precluded by New Mexico law from representing itself in this matter. See Attorney General Opinions 58-200 and 65-19, (attached).

Imagine how interesting Division cases now will become if you allow company witnesses to correspond directly with you about matters pending a hearing, especially contested cases.

Very truly yours,



W. Thomas Kellahin

cc: Mr. David R. Catanach, Hearing Examiner
Mr. David Brooks, Esq., Division Attorney
William F. Carr, Esq.
Attorney for Nearburg Exploration Company, Inc.
OXY USA WTP Lp.
Attn: Richard Foppiano

or compensating tax.

Every person who purchases fish to raise must be considered to be a consumer and the sale of fish to him must be considered a retail sale taxable under either the school or compensating tax. If the person who raises fish sells them to a restaurant or to a market which resells them he must be considered a wholesaler and not subject to the school tax. If, however, instead of selling the fish he sells the privilege of fishing from his pond, lake, or stream, he is selling a service and is subject to the New Mexico school tax at a rate of 3%.

A person selling minnows for bait is a retailer subject to the New Mexico school tax.

Section 53-3-20, N.M.S.A., provides that a person who wishes to engage in the business of selling minnows and non-game fish must pay a license fee of \$20.00 and post a \$1,000.00 bond. This fee, unlike the emergency school tax, is a regulatory measure rather than a revenue gathering device and does not prevent the levying of the emergency school tax.

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**Attorney General Opinion
No. 65-19**

February 4, 1965

**OPINION
OF
BOSTON E. WITT
Attorney General**

By: Roy C. Hill
Assistant Attorney General

To: Patrick F. Hanagan
District Attorney
Fifth Judicial District
Roswell, New Mexico

STATEMENT OF FACTS:

An attorney, acting on behalf of a client, who is not a resident of New Mexico and is not licensed to practice law in New Mexico and who does

not associate himself with a New Mexico attorney is probating estates in the Probate Courts of New Mexico. Most, if not all, of the proceedings are conducted by mail even to the extent of sending orders to the Probate Judge for signature.

QUESTION:

Does this constitute practicing law contrary to the laws of New Mexico?

CONCLUSION:

Yes.

ANALYSIS:

Section 18-1-26, N.M.S.A., 1953 Compilation (P.S.) provides in pertinent part as follows:

"No person shall practice law in any of the courts of this state, except court of justice of the peace, nor shall any person commence, conduct or defend any action or proceeding in any of said courts unless he be an actual and bona fide resident of the state of New Mexico, and unless he shall have first obtained a temporary license as herein provided or shall have been granted a certificate of admission to the bar under the provisions of this chapter Provided, however, that nothing in this act shall be construed to prohibit persons residing beyond the limits of this state, otherwise qualified, from assisting residents counsel in commencing, conducting or otherwise participating in any action or proceeding . . ."

It cannot be questioned that Probate Courts are included within the prohibition of this section. Prior to 1957, the year when the section, as presently written, was enacted, Probate Courts, in addition to justice of the peace courts, were included within the exception. Therefore, the real question is whether or not the fact set out

above describe practicing

In two prior opinions we have decided the question of whether the practice of law is substituted for the practice of law when considered. In neither was the factual situation as the one presented here. However, in these opinions we have used the definitions previously stated and from these we conclude that the facts set out above constitute practicing law. In Opinion No. 200, dated September 1953, the definitions were s

"Practicing as an attorney or counselor at law, as defined by the laws and customs of the courts, is the giving of legal advice or rendition of any service by any person, whether in corporation when the nature of such advice or rendition of such service requires a person of any degree of legal education or skill." *People v. Stock Yards Station*, 344 Ill. 462, 176 N.E.2d 841 (1931).

"We are of the opinion that the practice of law is not confined to practice in the courts of this state, but extends to a larger scope, including the preparation of pleadings, other papers incident to an action or special proceeding in any court or other body, conveyancing, the drafting of all legal instruments of all kinds whereby a right is secured, the giving of opinions as to the validity or invalidity of title to real or personal property, the giving of legal advice, and any action or proceeding connected with the law." *Cardell*, 173 Iowa 312 (1915).

"Persons acting primarily in legal formalities, negotiations or proceedings, the warrants or authority of their clients may be as attorneys at law with the meaning of that definition as employed in this (

above describe practicing law.

In two prior opinions by this office the question of what constitutes the practice of law has been considered. In neither of them was the factual situation the same as the one presented here. However, in these opinions we do find the definitions previously relied on and from these we conclude that the facts set out above describe practicing law. In Opinion No. 58-200, dated September 30, 1958, these definitions were set out:

"Practicing as an attorney or counselor at law, according to the laws and customs of our courts, is the giving or advice or rendition of any sort of service by any person, firm or corporation when the giving of such advice or rendition of such service requires the use of any degree of legal knowledge of skill." *People v. People's Stock Yards State Bank*, 344 Ill. 462, 176 N.E. 901 (1931).

"We are of the opinion that the practice of law was not confined to practice in the courts of this state, but was of larger scope, including the preparation of pleadings and other papers incident to any action or special proceeding in any court or other judicial body, conveyancing, the preparation of all legal instruments of all kinds whereby a legal right is secured, the rendering of opinions as to the validity or invalidity of the title to real or personal property, the giving of any legal advice, and any action taken for others in any matters connected with the law." *Barr v. Cardell*, 173 Iowa 18, 155 N.W. 312 (1915).

"Persons acting professionally in legal formalities, negotiations or proceedings by the warrants or authority of their clients may be regarded as attorneys at law within the meaning of that designation as employed in this country."

State v. Wells, 191 S.C. 468, 5 S.E.2d 181 (1939).

In Opinion No. 60-173, dated September 26, 1960, the following definition was used:

"... is the doing or performing of services in a court of justice, in any matter depending therein, throughout its various stages, and in conformity with the adopted rules of procedure; but is not confined to performing services in an action or proceeding pending in courts of justice, and, in a larger sense, it includes legal advice and counsel, and the preparation of legal instruments and contracts by which legal rights are secured, although such matter may or may not be depending in a court. To 'practice law' is to carry on the business of an attorney at law; to do or practice that which an attorney or counselor at law is authorized to do and practice; to exercise the calling or profession of the law, usually for the purpose of gaining a livelihood, or at least for gain; to make it one's business to act for, and by the warrant of, others in legal formalities, negotiations, or proceedings ... " 7 C.J.S. Attorney and Client, Sec. 3.

The factual situation presented with your question clearly falls within the quoted definitions of practicing law. This would be true even if all the proceedings were conducted by mail. The practice would still be in a court in New Mexico by an unauthorized person.

In addition to the above citations I will mention that the Supreme Court of Minnesota in *In Re Otterness*, 181 Minn. 254, 232 N.W. 318 (1930) held that conducting proceedings in the probate courts of that state constituted practicing law. The court pointed out that probate courts were courts of record with exclusive original jurisdiction over the estates of deceased persons and

persons under guardianship. Probate courts in New Mexico are courts of record, Art. VI, Sec. 23, Constitution of New Mexico, with concurrent jurisdiction with the district courts in probate matters. In *ReHickok's Will*, 61 N.M. 204, 297 P.2d 866.

Attorney General Opinion
No. 65-20

February 4, 1965

OPINION
OF
BOSTON E. WITT
Attorney General

By: Roy G. Hill
Assistant Attorney General

To: Representative Alfonso F.
Vigil
New Mexico House of
Representatives
State Capitol Building
Santa Fe, New Mexico

QUESTION:

Is House Bill No. 27, set out below, constitutional?

"7-8-9.1 [NEW MATERIAL] PREFERENCE OF LESSEES. — In the event state land is sold to a person, other than the holder of an existing lease, of the surface rights to the land sold, the lessee shall be given preference and, if he is otherwise qualified to purchase, and he matches or exceeds the bid and offer of the purchaser within ten days of the sale, the lessee shall be substituted for the purchaser and shall be granted the land sold."

CONCLUSION:

See analysis.

ANALYSIS:

Article XXI, Section 9 of the Constitution of New Mexico provides as follows:

"This state and its people consent to all and singular the

provisions of the said Act of Congress, approved June twentieth, nineteen hundred and ten, concerning the lands by said Act granted or confirmed to this State, the terms and conditions upon which said grants and confirmations were made and the means and manner of enforcing such terms and conditions, all in every respect and particular as in said act provided."

The section quoted has reference to the Enabling Act for New Mexico passed by the United States Congress. Among the terms and conditions imposed by the Enabling Act on lands granted or confirmed therein to New Mexico are these found in Section 10 of that Act:

"... Said lands shall not be sold or leased, in whole or in part, except to the highest and best bidder at a public auction to be held at the county seat of a county wherein the lands to be affected, or the major portion thereof, shall lie, notice of which public auction shall first have been duly given by advertisement, which shall set forth the nature, time, and place of the transaction to be had, with a full description of the lands to be offered, and be published once each week for not less than ten successive weeks in a newspaper of general circulation published regularly at the state capital, and in that newspaper of like circulation which shall then be regularly published nearest to the location of such lands so offered; ..."

House Bill No. 27, if enacted, would certainly have application to the lands granted or confirmed in the Enabling Act. The language quoted just above is clear and unambiguous. The land must go to the highest and best bidder at a public auction. House Bill No. 27 sets out a procedure totally incompatible with the prohibition contained in the Enabling Act. In

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for an agent's license to conduct an insurance business in New Mexico. If, however, one or more of the partners reside within the state then it is our belief, and you are so advised, that such a partnership meets all the residence requirements found in § 58-5-22.1 supra. In reaching such a conclusion, this office can only analogize to those cases in which for venue purposes, the residence of one or more of the partners of a partnership was held to be the residence of the partnership. See *MacKenzie v. Climax Industries*, supra, wherein are cited numerous authorities to this effect. This analogy must be made in view of the apparent absence of any court decision on this precise question. However, we feel the analogy made is a proper one. A contrary holding would in some instances lead to a ridiculous result. For example, it would make it impossible for a partnership whose membership did not all reside within the same state to ever acquire a residence. In the opinion of this office, such is not and cannot be the law.

Attorney General Opinion
No. 58-200

September 30, 1958

OPINION
OF
FRED M. STANDLEY
Attorney General

By: Joel B. Burr, Jr.
Assistant Attorney General

To: Stephen W. Bowen, President
Board of Commissioners of
the State Bar of
New Mexico
Tucumcari, New Mexico

QUESTION:

Does appearance by a layman, or an attorney in a representative capacity as an advocate in hearings before any commissioner, hearing officer, referee, board, body, committee or commission of the State of New Mexico constitute the

practice of law and require attorneys so engaged to be licensed in New Mexico or otherwise associated with resident counsel?

CONCLUSION:

Yes.

ANALYSIS:

The pertinent statutory provisions of this State in reference to the practice of law are Secs. 18-1-8, 18-1-26, and 18-1-27 of the New Mexico Statutes Annotated, 1953 Comp., and 1957 Pocket Supplement.

Sec. 18-1-8, supra, creates a Board of Bar Examiners to pass upon the qualifications of applicants before they are admitted to practice law in the State.

Sec. 18-1-26, supra, prohibits the practice of law in this State by any person unless he shall have first obtained either a temporary license, a certificate of admission, or associated himself with local counsel. This section provides in part as follows:

"No person shall practice law in any of the courts of this state, except courts of justice of the peace, nor shall any person commence, conduct or defend any action or proceeding in any of said courts unless he be an actual and bona fide resident of the State of New Mexico, and unless he shall have first obtained a temporary license as herein provided, or shall have been granted a certificate of admission to the bar under the provisions of this chapter. No person not licensed as provided herein shall advertise or display any matter or writing whereby the impression may be gained that he is an attorney or counselor at law, or hold himself out as an attorney or counselor at law, and all persons violating the provisions hereof shall be deemed guilty of contempt of the court wherein such violation

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And lastly, Section 18-1-27, supra, likewise prohibits the practice of law without a valid license and provides for a penalty for the violation thereof. This section provides:

"If any person shall, without having become duly licensed to practice, or whose licenses to practice shall have expired either by disbarment, failure to pay his license fee, or otherwise, practice or assume to act or hold himself out to the public as a person qualified to practice or carry on the calling of a lawyer, he shall be guilty of any offense under this act (18-1-2 to 18-1-8, 18-1-24, 18-1-25, 18-1-27), and on conviction thereof be fined not to exceed five hundred dollars (\$500), or be imprisoned, for a period not to exceed six (6) months or both."

Thus, we note that there is no statutory provision in New Mexico defining what constitutes the "practice of law". Nor to our knowledge, has the term been defined by the Supreme Court of this State. However, the reports are replete with cases in other jurisdictions in which the courts have been called upon to define the term.

In *People v. People's Stock Yards State Bank*, 344 Ill. 462, 176 N.E. 901 (1931), it is said.

"Practicing as an attorney or counselor at law, according to the laws and customs of our courts, is the giving of advice or rendition of any sort of service by any person, firm or

corporation when the giving of such advice or rendition of such service requires the use of any degree of legal knowledge or skill."

In *Barr v. Cardell*, 173 Iowa 18, 155 N.W. 312 (1915), the Court said:

"We are of the opinion that the practice of law was not confined to practice in the courts of this state, but was of larger scope, including the preparation of pleadings and other papers incident to any action or special proceeding in any court or other judicial body, conveyancing, the preparation of all legal instruments of all kinds whereby a legal right is secured, the rendering of opinions as to the validity or invalidity of the title to real or personal property, the giving of any legal advice, and any action taken for others in any matter connected with the law."

The following is the concise definition given by the Supreme Court of the United States as quoted by the South Carolina Supreme Court in *State v. Wells*, 191 S.C. 468, 5 S.E. 2d 181 (1939):

"Persons acting professionally in legal formalities, negotiations or proceedings by the warrants or authority of their clients may be regarded as attorneys at law within the meaning of that designation as employed in this country."

In determining what is the practice of law, the courts have consistently said that it is the character of the acts performed and not the place where they are done that is decisive. Or phrased in a different manner, it is the character of the services rendered and not the denomination of the tribunal before whom they are rendered which controls in determining whether such services constitute the practice of law. *State ex rel. Daniel v. Wells*, 191 S.C. 468, 5 S.E. 2d 181 (1939); *People ex rel. Chicago Bar As-*

sociation v. Goodman 366 Ill. 346, 8 N.E. 2d 941 (1937), Cert. Den. 302 U.S. 728; Stock v. P. G. Garage, Inc., 7 N.J. 118, 30 A. 2d 545 (1951); State ex rel. Johnson, Atty. Gen. v. Childe, 147 Neb. 527, 23 N.W. 2d 720 (1946); Gardner v. Conway, 234 Minn. 468, 48 N.W. 2d 788 (1951); Carey v. Thieme, 2 N.J. Super. 458, 64 A. 2d 394 (1949).

In disposing of the question in the case of Shortz v. Farrell, 327 Pa. 81, 193 A. 20, 21 (1937), the Court said:

"In considering the scope of the practice of law mere nomenclature is unimportant, as for example, whether or not the tribunal is called a 'court,' or the controversy 'litigation', where the application of legal knowledge and technique is required, the activity constitutes such practice even if conducted before a so-called administrative board or commission. It is the character of the act, and not the place where it is performed, which is the decisive factor."

If this is the true test then, and we agree that it is, let us proceed to analyze the nature of the advocacy utilized by an attorney in conducting hearings before an administrative board or commission. It appears to take place in what may be called adversary administrative proceedings, and in the processing of claims by and against the state, as a more informal type of adversary proceeding.

In the constitutional sense, adversary administrative proceedings are the substantial equivalent of judicial proceedings. The same issues of law and argument carry over from an administrative proceeding on judicial review of the agency's determination. Moreover, the Supreme Court of the United States has held that administrative proceedings are subject to the constitutional requirements of procedural due process, that they are quasi-judicial in character, and are required to

fit the cherished judicial tradition embodying the basic concepts of fair play. *Morgan v. United States*, 304 U.S. 1, (1938).

A study of the rules of practice adopted by various administrative bodies in this State reveals that the same basic system of mechanics is utilized as is found in judicial litigation. Choices must be made between causes of action and the drafting of pleadings. The conduct of a hearing before an administrative tribunal and the conduct of a trial in a purely judicial proceedings are for all practical purposes, the same. For example, in order to prove questions of fact in an administrative proceeding, witnesses must be qualified, examined and cross-examined, questions must be asked which, to some extent at least, must fit the rules of evidence. Documents must be proved and introduced into evidence as exhibits. Statutes and judicial decisions must many times be interpreted. Briefs are written and questions of law argued. Decisions are made which are based on findings of fact and conclusions of law. In addition, some statutes or rules of practice provide that the rules of evidence in certain administrative proceedings will, as far as applicable, be the same as the rules of procedure generally followed by the district courts. And it is not insignificant to note that language utilized in both administrative proceedings and judicial litigation are distinctly similar. Such terms as "complaints", "answers", "replies", "motions", "depositions", "subpoenas", "evidence", "offers of proof", "judicial" or "official notice", "briefs", "oral argument", and "findings of fact" are used in both proceedings.

Thus, if it is the character of the acts performed that is to govern us in determining what is the practice of law, the conclusion is inescapable that if a layman, or an attorney appears in a representative capacity as an advocate in hearings before any Commissioner, hearing officer, referee, board, body, committee or

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commission of the State of New Mexico which considers legal questions, applies legal principles and weighs facts under legal rules, and in that representative capacity files pleadings, qualifies, examines and cross-examines witnesses, proves and introduces exhibits into evidence or performs any of the other duties normally associated with an attorney requiring specialized training and skill, such layman or attorney is practicing law within the meaning of the term as it is used in the act.

As was indicated earlier in this opinion, our Supreme Court has never been called upon to decide this question. However, we are certainly not without authority in our position. In *State ex rel Daniel, Atty. Gen., et al. v. Wells*, supra, the Supreme Court of South Carolina was called upon to determine whether an appearance by an insurance adjuster as a paid representative of an insurance company before a single commissioner in hearings before the South Carolina Industrial Commission, constituted the practice of law. The Court concluded that it did under a statutory provision which prohibited the practice of law in any court of the state by any person unless admitted and sworn in as an attorney.

The Court reviewed authorities from other jurisdictions and concluded that the correct test to be applied in determining what constitutes the practice of law, is to look at the character of the acts performed and not the place where they are done. In view of the test adopted, the Court carefully analyzed the procedure followed at such hearings. It found among other things that at such a hearing, the Commissioner ascertained disputed issues, of law or fact, swore witnesses, and took testimony. Witnesses were examined and cross-examined. The commissioner was empowered to make awards based upon the evidence, together with a statement of his findings of fact, rulings and conclusions of law. A complete record was made of the case, and ag-

grieved parties given a right of appeal. Commenting upon this procedure, the Court said at pp. 164:

"Examination and cross examination of witnesses require a knowledge of relevancy and materiality. Such examination is conducted in much the same manner as that of the Circuit Court. Improper or irrelevant testimony must be objected to, or otherwise it may be considered. *Rice v. Brandon Corporation*, 190 S.C. 229, 2 S.E. 2d 740. While findings of fact will be upheld by the Court if there is any evidence on which it can rest, it must be founded on evidence and cannot rest on surmise, conjecture or speculation. *Rudd v. Fairforest Finishing Company*, 189 S.C. 188, 200 S.E. 727. Depositions are taken under the procedure of the Circuit Court. The various decisions of this Court since this legislation was enacted illustrate the difficult and complicated questions which arise in the construction of the Act and its application. Facts must be weighed by the commissioner in the light of legal principles. The Hearing commissioner makes not only findings of fact, but states his conclusions of law."

The Court then held that such hearings were essentially of a judicial character and that the appearance at such hearings in a representative capacity constituted the practice of law.

It should be noted that the South Carolina statute prohibiting the practice of law without a license is extremely similar to our New Mexico statute compiled as Section 18-1-26, supra, in that in both statutes, the word "court" is used in the prohibition. In disposing of the question, the South Carolina Supreme Court quotes with approval the following language from the Pennsylvania case of *Shortz v. Farrell*, supra.

"In considering the scope of the practice of law mere nomenclature is unimportant, as for example, whether or not the tribunal is called 'court' or the controversy 'litigation'."

The real question to be resolved according to the South Carolina Court is whether the duties performed require the application of legal knowledge or technique; that it is the character of the acts performed and not the place where they are performed which is the decisive factor.

In the Pennsylvania case from which the quoted language above is taken, the Court held that an appearance by an adjuster in administrative hearings held under the Pennsylvania Workman's Compensation Act, in which he examined and cross-examined witnesses, constituted the practice of law.

The Supreme Court of Illinois in the case of *People ex rel. Chicago Bar Association v. Goodman*, supra, upon similar facts, reached the same conclusion. In discussing what acts constituted the practice of law, the Court said:

"It is immaterial whether the acts which constitute the practice of law are done in an office, before a court, or before an administrative body. The character of the act done, and not the place where it is committed, is the factor which is decisive of whether it constitutes the practice of law."

Petition for Writ of Certiorari in the above case was denied by the United States Supreme Court in 302 U.S. 728.

The Supreme Court of Ohio is likewise in accord with the position we have taken on this question. See *Goodman v. Beall*, 130 Ohio St. 427, 200 N.E. 470 (1936).

In the case of *Stack v. P. G. Garage, Inc.*, supra, the plaintiff

Stack, a licensed relator appeared in a representative capacity before the Hudson County Tax Board. The New Jersey Supreme Court in holding that Stack's actions constituted the practice of law quoted with approval the following conclusion reached in the case of *Tumulty v. Rosenblum*, 134 N.J.L. 514, 48 A. 2d 850 (Sup. Ct. 1946):

"The practice of law is not confined to the conduct of litigation in courts of record. Apart from such, it consists, generally, in the rendition of legal service to another, or legal advice and counsel as to his rights and obligations under the law . . . calling for . . . a fee or stipend, i.e., that which an attorney as such is authorized to do; and the exercise of such professional skill certainly includes the pursuit, as an advocate for another, of a legal remedy within the jurisdiction of a quasi-judicial tribunal. Such is the concept of R.S. 2:111-1, N.J.S.A. classifying as a misdemeanor the practice of law by an unlicensed person."

The Nebraska case of *State ex rel. Johnson, Atty. Gen. v. Childe*, supra, arose out of the appearance of one Childe before the Nebraska State Railway Commission in a proceeding entitled:

"In the Matter of the Application of the Central States Motor Carriers' Association for authority to Establish Commodity Rates on Building and Fencing materials."

The conclusion reached by the Court is quoted below:

"We conclude that in the proceeding before the commission involved herein and the part taken by the defendant in his conduct thereof, there was involved a need of legal training, knowledge, and skill and constituted the practice of law. It was particularly required in the drafting of the petition, in the interpretation

of the law which the clothed, power of make the of a reco of a judi blishing tions of and the testimony legal stan ing such noted in representa out licens practice of engaged in of law wit the rules former op State ex re 139 Neb. 91

But for t many more c support of matter. Howe we have disc to point out t conclusions w

In view of further quest at this time. no prohibiti against an in himself, and corporation. its appearance employees or might be con ployee of a c acting for a own employer. were made in Clark v. Austi S.W. 2d 977. et al. v. Farrel Johnson Com tual Life Insur Supp. 175 (19

In Clark v. Court disposed as follows:

"The law re of natural p themselves : fairs, althou formed by tl for others

of the legislative powers with which the commission was clothed, in determining the power of the commission to make the order, in the making of a record in contemplation of a judicial review, in establishing the legal qualifications of witnesses to testify and the technical proffer of testimony in conformity to legal standards. In performing such services, and others noted in this opinion, in a representative capacity without license to engage in the practice of law, the defendant engaged in the illegal practice of law within the meaning of the rules announced in the former opinion in this case. *State ex rel. Johnson v. Childe*, 139 Neb. 91, 295 N.W. 381."

But for the sake of brevity, many more cases could be cited in support of our position in this matter. However, we feel the cases we have discussed are sufficient to point out the correctness of the conclusions we have reached.

In view of this conclusion, one further question merits discussion at this time. Inasmuch as there is no prohibition under our law against an individual representing himself, and, in the case of a corporation, it is necessary that its appearance be made through employees or representatives, it might be contended that an employee of a corporation was not acting for a client, but for his own employer. Similar contentions were made in *State v. Wells*, supra, *Clark v. Austin*, 340 Mo. 467, 101 S.W. 2d 977, 982 (1937); *Shortz, et al. v. Farrell*, supra, and *Mullin-Johnson Company v. Penn. Mutual Life Insurance Company*, 9 F. Supp. 175 (1934).

In *Clark v. Austin*, supra, the Court disposed of the contention as follows:

"The law recognizes the right of natural persons to act for themselves in their own affairs, although the acts performed by them, if performed for others, would con-

stitute the practice of law. A natural person may present his own case in court or elsewhere, although he is not a licensed lawyer. A corporation is not a natural person. It is an artificial entity created by law. Being an artificial entity it cannot appear or act, in person. It must act in all its affairs through agents or representatives. In legal matters, it must act, if at all through licensed attorneys.

* * * * *

If a corporation could appear in court through a layman upon the theory that it was appearing for itself, it could employ any person, not learned in the law, to represent it in any or all judicial proceedings."

The Court also quoted with approval the following from *Mullin-Johnson Company v. Penn Mutual Life Insurance Company*, supra:

"Since a corporation cannot practice law, and can only act through the agency of natural persons, it follows that it can appear in court on its own behalf only through a licensed attorney. It cannot appear by an officer of the corporation who is not an attorney, and may not even file a complaint except by an attorney, whose authority to appear is presumed; in other words, a corporation cannot appear in propria persona."

We are further of the opinion that the power granted to various administrative agencies to promulgate rules and regulations does not contemplate the power to permit laymen and lawyers who are not licensed to practice law in this State to perform functions in connection with the administration of the various acts which constitute the practice of law. *State v. Wells*, supra, *State v. Childe*, supra, *Goodman v. Beall*, supra.

By way of conclusion, it is the

opinion of this office that a layman or an attorney who appears in a representative capacity as an advocate in hearings before any commissioner, hearing officer, referee, board, body, committee or commission of the State of New Mexico which considers legal questions, applies legal principles and weighs facts under legal rules, and in that representative capacity files pleadings, qualifies, examines and cross-examines witnesses, proves and introduces exhibits into evidence, or performs any of the other duties normally associated with attorneys requiring specialized training and skill, is engaging in the practice of law which is expressly prohibited without a license under the provisions of Section 18-1-26 and 18-1-27, *supra*. It therefore follows that under the provisions of Section 18-1-26, *supra*, all foreign licensed attorneys must associate themselves with resident counsel before commencing, conducting, or otherwise participating in any such proceeding.

The law in this regard is neither unusual nor oppressive. Doctors of medicine, dentists, pharmacists, barbers, hair-dressers, and others who engage in professions or skilled trades, must show required preparation and fitness for their work, take examinations and procure licenses to practice. As the Court pointed out in *State v. Wells*, *supra*, a dual trust is imposed on licensed attorneys; they must act with all good fidelity to the courts and to their clients, and they are bound by canons of ethics which have been the growth of long experience and which are enforced by the Courts. Or as was said by Judge Matson in *Gardner v. Conway*, 234 Minn. 468, 48 N.W. 2d 788, 795:

"The law practice franchise or privilege is based upon the threefold requirements of **ability, character and responsible supervision.**" (Court's Emphasis).

Attorney General Opinion
No. 58-201

September 30, 1958

OPINION
OF
FRED M. STANDLEY
Attorney General

By: Robert F. Pyatt
Assistant Attorney General

To: Honorable Dan Sosa, Jr.
District Attorney
Third Judicial District
Las Cruces, New Mexico

QUESTIONS:

"(1) May a teacher retired under the previous act and occupying emeritus status but returned to active employment after the effective date of the 1957 Act in any way become eligible, by contribution, 'buying in,' earned credit service, or otherwise, to participate, after being returned to retirement status, to the increased benefits of the 1957 Act?

(2) Does the fact that upon return to active employment deductions or contributions were made from the teacher's pay establish any rights towards participation for benefits under the new Act? If not, would she not be entitled to refund of such contributions? And further would there be any advantage or necessity for the continued contributions thereunder?

(3) Would the signing of a waiver agreement by such teacher providing that upon the conclusion of the re-employment period specified such teacher shall be reinstated to prior retirement status with the same benefits the individual was receiving prior to such re-employment effect any exemption or waiver to such benefits that might otherwise have been received under the new act?

(4) If so, does the school

system, local or
tional Retirement
the authority
any return to a
ment from emer
the execution of
any benefits un
Act?"

CONCLUSIONS:

1. No.
2. No, but the could enact a tute.
3. No.
4. See Opinion.

ANALYSIS:

Under §73-12-64
tired teacher may
active service, but c
sidered on retirement
so engaged. §73-12-
we do not believe th
employees are entitl
tributions or any oth
acquire increased re
nefits by virtue of th
Retirement Act when
active service. Inde
(p.s.) provides:

"All persons who l
tore been retired
provisions of section
through 73-12-24,
through 73-12-29,
and 73-12-32 New M
tutes Annotated, 19
lation, upon the ba
vice and age, shall
to have retired u
Educational Retire
and shall continue
retirement benefit in
amount which they v
tore receiving."
supplied.)

We think this langua
larly that emphasized
gates any other implic
versely, there is no
contributions when a
employee is recalled.

In regard to your se
tion, which also involv